

University of South Alabama
OFFICE OF TECHNOLOGY TRANSFER
775 University Blvd. N
Building II, Suite 150
Mobile, Alabama 36608
Phone: 251.460.6479 / Fax: 251.461.1774
Email: reggietaaylor@usouthal.edu

MATERIAL TRANSFER AGREEMENT -- PRE-REVIEW QUESTIONNAIRE
(MATERIAL LEAVING CAMPUS)

Investigator: _____

Department/Phone: _____

Materials: _____

Recipient Name, Address & Phone: _____

~~~~~  
In order to expedite review of the proposed MTA, please download this Pre-Review Questionnaire and answer the following questions to the best of your knowledge. Once the form has been completed, sign and date it the completed form and return it with the appropriate MTA to the Office of Technology Transfer (OTT) by fax, campus mail or in person. Please direct all inquiries to contact shown above.  
~~~~~

1. Is the Material relevant to any invention disclosure you have submitted or plan to submit to OTT?
____ yes ____ no. If yes, provide the title and invention disclosure number:

2. Is the Material being used in any research which involves or will involve federal sponsorship? ____yes ____no: if yes, please provide agency name, date of award and grant no.

3. Is the Material being used in any research which involves or will involve corporate sponsorship? ____yes ____no; if yes, explain

4. Are you planning on transferring any technical information or data along with the material? ____yes ____no; if yes, explain

5. Will the supply and/or use of the material conflict in any way with any existing agreements to which the USA/SAMSF is a party?
____ yes ____no
If yes, please describe: _____
6. Is the Requestor Scientist a "foreign person" as defined under export control laws (that is, not a U.S. citizen and not a permanent resident alien)?
____ Yes ____ No (If the answer is yes, see questions 8 & 9)
7. Is the Receiving Institution a non-U.S. Institution?
____ Yes ____ No (If the answer is yes, see questions 8 & 9)

Questions 8 and 9 are required if the answer to either question 6 or 7 is "YES".

8. Is the Material listed on the U.S. Munitions List (22 C.F.R. § 121, available at http://www.pmdtc.state.gov/docs/ITAR/2006/ITAR_Part_121.pdf)? Note: The U.S. Munitions List primarily includes explosive agents, toxicological agents (including defoliants), satellite components and parts (regardless of purpose), sensors, and other materials for military use.
____ Yes ____ No
9. Is the Material listed on the Commerce Control List (15 C.F.R. § 774, available at http://www.access.gpo.gov/bis/ear/ear_data.html)? Note that the CCL includes a broad range of dual use technologies, including but not limited to many human, zoological, and plant pathogens and toxins, vaccines, and genetic elements and modified organisms. Pay particular attention to sections 1C350 through 1C360 and 1C991, though a full review of the list is necessary if the answer to any of questions 9-11 is yes.

_____ Yes _____ No

If yes to 8 or 9 above, please list the category:

Please direct questions concerning export controls to the Office of Research Compliance and Assurance: ahenry@usouthal.edu or 460-6509

Note about Export Control laws: It is unlawful to send or take export controlled information or materials out of the United States or to transfer export controlled materials or information to “foreign persons” inside or outside the United States, unless an exemption under the regulation applies or the University obtains an export license. You may be personally liable for violating the International Traffic in Arms Regulations (“ITAR”), the Export Administration Regulations (“EAR”), or one of the many other regulations by other U.S. administrative agencies for making unlawful transfers; violation of each of these regulations is punishable by fines of up to \$1,000,000 and/or prison terms up to 10 years. **Material herein will not be further distributed to others outside of the individuals and entities listed above without the prior written consent of yourself and the University of South Alabama, and in any event shall not be distributed in violation of any of the U.S. export control laws.** Therefore, please provide complete information below.

Signature

Date