SAMPLE

Financial Interests Report
(submitted pursuant to the requirements of the institution name here Conflict of Interest policy)

Name: ______________________________________________

I am reporting on activities:  □ for the year ______
□ as an addendum to my most recent report

☐ yes  ☐ no  1. Compensation (including travel expenses). have you or a member of your Family received compensation from a for-profit entity for activities such as consulting, expert witness, advisory board membership, and the like? If yes, furnish information on an additional page.

☐ yes  ☐ no  2. Equity. Do you or a member of your family own stock or hold stock options with a publicly-traded or privately-owned entity? If yes, furnish information on an additional page.

☐ yes  ☐ no  3. Role. Do you or a member of your family serve as a director, trustee, officer or other key employee in a for-profit corporation, partnership, business, or other entity outside of the institution name here. If yes, furnish information on an additional page.

☐ yes  ☐ no  4. Intellectual Property. Do you or a member of your family have rights to and/or receive royalties from intellectual property (including, patents copyrights and trademarks but excluding academic or scholarly works) licensed to and/or owned by a for-profit entity? Do NOT include intellectual property owned or managed by the institution name here. If yes, furnish information on an additional page.

Certification:

I have read and understand the institution name here’s policy on Conflict of Interest in PHS Funded Projects and have completed this report to the best of knowledge and belief. If required, I will comply with any conditions or restrictions imposed by the institution name here to manage any real or perceived conflicts. Should my outside financial or managerial interests, or those of my Family, change in a way that results in different answers to any of the questions asked in this report, I agree to submit a revision.

_______________________________________________  _____________________________
(date)  (signature)

☐ additional page(s) attached
Addition to Financial Interests Report of:  ________________________________

Reporting for  □ self  □ family member:

name:  ________________________________________________

relationship:  ________________________________________

Name of External Entity:  __________________________________________________________

Address of External Entity:  ________________________________________________________

Type of external relationship:  (check all that apply)

☐ Consultant
☐ Speaker
☐ Advisory Board or Committee
☐ Equity Holdings
☐ Governing Board or Officer
☐ Intellectual Property Rights
☐ Royalty Income
☐ Other (describe below)

Amount of compensation or financial interest in reporting period:  $ ______________________

If travel paid by entity:

Destination  ____________________________________________________________

Amount  $ ______________________

Comments or explanatory information: