APPENDIX D



University of South Alabama INVESTIGATOR'S ACCESS PREPARATORY TO RESEARCH

Assurances under this provision of the HIPAA Privacy Rule requires investigators who intend to perform a review preparatory to research make certain representations before using or disclosing protected health information in such a review. "Use" is sharing PHI within the USA entity. A "disclosure" is sharing PHI with someone outside the USA entity.

The investigator must complete this form and submit to the IRB Office, CSAB 138. A IRB letter of approval will be issued for reviews preparatory to research. The investigator should provide this assurance to medical records or the entity to receive such information in order to access the records/PHI.

I, _____, will adhere to the following representations:

1. 2.	The use/disclosure of PHI is sought solely for the purposes to prepare a research	
	protocol; and	
3.	The PHI for which use or access is sought is necessary for research po	
4.	If I record any PHI, it will not include patient names, medical record nur	nbers, social
_	security numbers or patient account numbers.	
5.	The minimum necessary PHI to accomplish my work is:	
_		
Rese	search staff needing access to protected health information:	
		<u></u>
Princ	cipal Investigator Date	
Date	te received by IRB :	
Date	te received by IND .	