

**UNIVERSITY OF SOUTH ALABAMA  
FINANCIAL CONFLICT OF INTEREST  
ANNUAL DISCLOSURE  
Attachment B**

*Report of Activities Constituting Potential Financial Conflict of Interest  
Generally Proscribed  
(FCOI Policy, Section 4.3, Categories of Employee Activities, Category C)*

**Name:** \_\_\_\_\_ **Title/Rank:** \_\_\_\_\_

**College/Unit:** \_\_\_\_\_ **Dept:** \_\_\_\_\_

Please provide the information requested below for each item to which you answered “yes on the annual disclosure form under Section B.

1. Will participate in University research involving a technology owned by or contractually obligated (by license or option to license) to a business in which you or a member of your immediate family or household, has a financial interest or hold an executive position.
  - a. Name of the business: \_\_\_\_\_
  - b. Position you or your family/household member hold, if any: \_\_\_\_\_
  - c. Describe the duties of the position: \_\_\_\_\_  
\_\_\_\_\_
  - d. Describe the nature and amount of the financial interest that you or your family/household member holds in the business: \_\_\_\_\_  
\_\_\_\_\_
  - e. Describe the University research in which you or your family/household member participates, if any:  
\_\_\_\_\_  
\_\_\_\_\_
  - f. What is the licensed or obligated technology? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
  
2. Will assign students, postdoctoral fellows or other trainees to University research projects sponsored by a business in which you or a member of your immediate family or household has a financial interest?
  - a. Name of the business: \_\_\_\_\_
  - b. Describe the nature and amount of the financial interest that you or your family/household member holds in the business: \_\_\_\_\_  
\_\_\_\_\_

c. Identify the University research assignments to which the students are assigned:

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3. Will participate in University research, clinical trial or service agreement that is funded by grant or contract from a business in which you or a member of your immediate family or household has a financial interest?

a. Name of the business: \_\_\_\_\_

b. Describe the nature and amount of the financial interest that you or your family/household member holds in the business: \_\_\_\_\_

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c. Describe the University research in which you or your family/household member participates:

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4. Will accept support for University research under conditions that require research results to be held confidential, unpublished or inordinately delayed in publication without prior written approval from the Office of Technology Transfer.

a. Name of the individual or entity providing support: \_\_\_\_\_

b. Describe the conditions imposed or agreed to: \_\_\_\_\_

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5. Will make referrals of University business to an external business in which you or a member of your immediate family or household has a financial interest.

a. Name of the external business: \_\_\_\_\_

b. Describe the nature and amount of the financial interest that you or your family/household member holds in the business: \_\_\_\_\_

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c. Describe the referrals of University business made: \_\_\_\_\_

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6. Will associate your own name with the University in such a way as to profit financially by trading on the reputation or goodwill of the University or gain by reason of your official position for personal gain or benefit of any other person or business entity.

Describe: \_\_\_\_\_

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\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**