Management of Export-Controlled Sponsored Projects

OFFICE OF GRANTS ADMINISTRATION
POST-AWARD REVIEW SECTION

DOCUMENTS TO BE REVIEWED:
- Notices of Award
- Contracts / Subcontracts
- Confidentiality/non-disclosure agreements
- Any other documents related to proposals/contracts/cooperative agreements/or other arrangements for Sponsored Projects to assure that secrecy or unacceptable restrictions are not required

PI Name: __________________________________________

Project Title: _________________________________________

Addresses or contains references to: List page/section
Check all applicable boxes

☐ Contain language referring to or mandating compliance with export control regulations?

☐ Require researcher participation in US citizen-only meetings?

☐ References confidential data and/or controlled technology?

☐ Award terms include problem clauses/terms/conditions?

Access and/or Dissemination of Information:

☐ Restricts researcher participation (faculty/students/visitors) based on country of origin or citizenship?

☐ Access by non-U.S. citizen to project information project work site(s), research results?

☐ Restricts hiring of foreign national persons?

☐ Project participation to U.S. citizens only?

☐ Publication restrictions?

Grant or allow the sponsor:

☐ Pre-approval rights over any research publications, disclosure of information, and/or research result?

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Rights to prepublication review for matters other than the inclusions of patent/proprietary sponsor information? (standard review time is 30-45 days for journal publication, 45-90 days for patent) __________________________

To claim resulting research findings as proprietary, trade secret and/or confidential? __________________________

IF ACCEPTING PROPRIETARY INFORMATION AS PART OF A PROJECT:

☐ YES; ☐ NO Can the information be appropriately protected?

☐ YES; ☐ NO Can proprietary information be removed from research so results may be freely published?

IF ACCEPTING INFORMATION IDENTIFIED AS EXPORT-CONTROLLED:

☐ YES; ☐ NO Has a Non-Disclosure Agreement been reviewed with the Office of Technology Transfer?

If further review is warranted, forward to Office of Research Compliance, CSAB 128 or dlayton@usouthal.edu. Identified export control issues/restrictions will be placed in a red folder and flagged in the OGA database.