
Management of Export-Controlled Sponsored Projects

**OFFICE OF GRANTS ADMINISTRATION
POST-AWARD REVIEW SECTION**

DOCUMENTS TO BE REVIEWED:

- Notices of Award
- Contracts / Subcontracts
- Confidentiality/non-disclosure agreements
- Any other documents related to proposals/contracts/cooperative agreements/or other arrangements for Sponsored Projects to assure that secrecy or unacceptable restrictions are not required

PI Name: _____

Project Title: _____

Addresses or contains references to:

List page/section

Check all applicable boxes

- | | |
|---|-------|
| <input type="checkbox"/> Contain language referring to or mandating compliance with export control regulations? | _____ |
| <input type="checkbox"/> Require researcher participation in US citizen-only meetings? | _____ |
| <input type="checkbox"/> References confidential data and/or controlled technology? | _____ |
| <input type="checkbox"/> Award terms include problem clauses/terms/conditions? | _____ |

Access and/or Dissemination of Information:

- | | |
|--|-------|
| <input type="checkbox"/> Restricts researcher participation (faculty/students/visitors) based on country of origin or citizenship? | _____ |
| <input type="checkbox"/> Access by non-U.S. citizen to project information project work site(s), research results? | _____ |
| <input type="checkbox"/> Restricts hiring of foreign national persons? | _____ |
| <input type="checkbox"/> Project participation to U.S. citizens only? | _____ |
| <input type="checkbox"/> Publication restrictions? | _____ |

Grant or allow the sponsor:

- | | |
|---|-------|
| <input type="checkbox"/> Pre-approval rights over any research publications, disclosure of information, and/or research result? | _____ |
|---|-------|

Rights to prepublication review for matters other than the inclusions of patent/proprietary sponsor information?
(standard review time is 30-45 days for journal publication, 45-90 days for patent) _____

To claim resulting research findings as proprietary, trade secret and/or confidential?

IF ACCEPTING PROPRIETARY INFORMATION AS PART OF A PROJECT:

YES; NO

Can the information be appropriately protected?

YES; NO

Can proprietary information be removed from research so results may be freely published?

IF ACCEPTING INFORMATION IDENTIFIED AS EXPORT-CONTROLLED:

YES; NO

Has a Non-Disclosure Agreement been reviewed with the Office of Technology Transfer?

If further review is warranted, forward to Office of Research Compliance, CSAB 128 or dlayton@usouthal.edu Identified export control issues/restrictions will be placed in a red folder and flagged in the OGA database.