
Management of Export-Controlled Sponsored Projects

OFFICE OF SPONSORED PROGRAMS PRE-AWARD REVIEW

DOCUMENTS TO BE REVIEWED:

- Requests For Proposals or project solicitations
- Pending proposal narratives / budgets
- Confidentiality/non-disclosure agreements
- Any other documents related to proposals/contracts/cooperative agreements/or other arrangements for Sponsored Projects to assure that secrecy or unacceptable restrictions are not required

POTENTIAL EXPORT CONTROL ISSUES TO BE REVIEWED:

- Nature of work
- Defense applications
- Evidence of potentially controlled items/technology (ITAR Munitions list, EAR CCL)
- Involvement of sanctioned countries
- Foreign travel
- Work being performed off campus or out of the U.S.
- Export / shipping of any material/products out of the U.S.

A standard statement is to be included in **research** proposals for the following: (Colleges of Medicine, Engineering, Computer & Information Sciences and the Mitchell Cancer Institute) - *This is a fundamental research project, and as such, the University shall be free to publish or disseminate the results of this research or otherwise treat such results as in the public domain, and it will conduct the research in accord with the National Security Decision Directive 189 and the export control regulations where applicable.*

PI Name: _____

Project Title: _____

Check all applicable boxes

Involves collaboration with foreign nationals from or travel to an embargoed or high risk country?

List Country:

Shipping to foreign countries?

Transfer of information/equipment to foreign countries?

Expenditures including payment to foreign workers?

Involves training foreign persons in the use of equipment?

Restrict researcher participation (faculty/student/visitors) based on country or citizenship?

Contain language referring to or mandating compliance with export control laws?

Potential export control issues will be temporarily flagged by inserting a red folder. These issues will be forwarded to the Office of Research Compliance for further review, CSAB 128 or dlayton@usouthal.edu